

# Local vs. Global Approach in Open Quantum Chains

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Supervised by:

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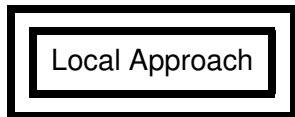
Prof. Fabio Benatti

November 20, 2023



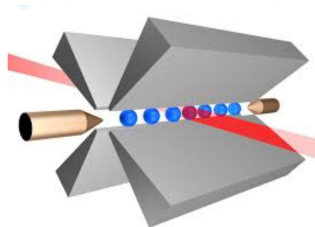
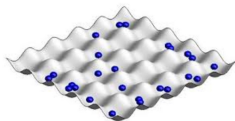
# Main Question

► Quantum Markov Process



# Motivation

- ▶ Ion Trap
- ▶ Ultra Cold Atom

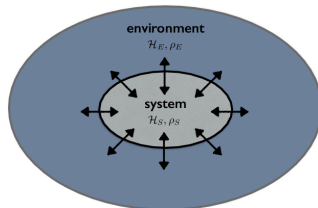


## Introduction to QMP

- ▶ For quantum systems and environment, time-evolution is proved to be unitary

$$\rho_{SE}(t) = U_{SE}(t)\rho_{SE}(0)U_{SE}^\dagger(t),$$

$$U_{SE}(t) \neq U_S(t) \otimes U_E(t).$$



- ▶ The dynamic of system is described by a completely positive trace preserving (CPTP) map

$$\Phi : \rho_s(0) \longrightarrow \rho_s(t),$$

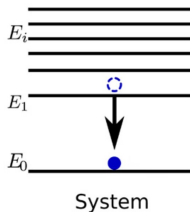
$$\Phi[\bullet] = e^{t\mathcal{L}}[\bullet].$$

# Markovian Master Equation

$$\dot{\rho}_S(t) = -i[H_S, \rho_S(t)] + \mathcal{D}[\rho_S(t)] = \mathcal{L}[\rho_S(t)],$$

$$\mathcal{D}[\bullet] = \sum_{i,j} \sum_m \gamma_{ji}(\omega_m) (A_i(\omega_m) \bullet A_j^\dagger(\omega_m) - \frac{1}{2} \{A_i^\dagger(\omega_m) A_j(\omega_m), \bullet\}).$$

$$A(\omega_m) |E_i\rangle = \sum_{E_i - E_j = \omega_m} C_{ij} |E_j\rangle.$$

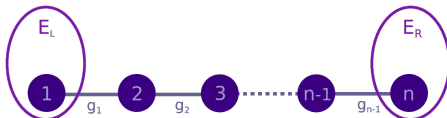


V. Gorini, A. Kossakowski and A. Sudarshan, *Math. Phys.* 17 (5): 821 (1976)

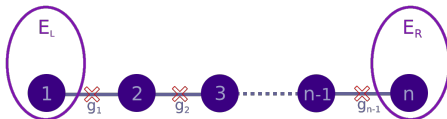
G. Lindblad, *Communications in Math. Phys.* 48(2):119–130 (1976)

# Introduction to Global and Local Approach

## Global approach

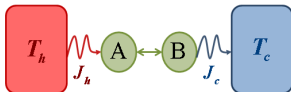


## Local approach

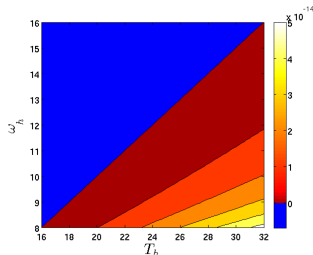


$G > L$

- ▶ Negative entropy production rate, a clear violation of the second law by using local approach



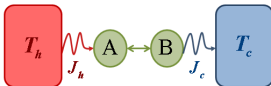
	local	global
second law	X	✓



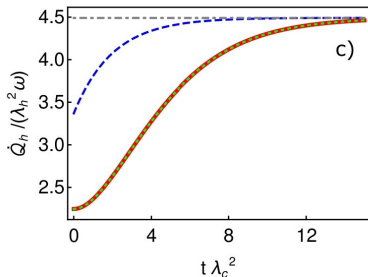
A. Levy and R. Kosloff, Europhysics Letters, 107(2):20004 (2014)

$L > G$ 

► Heat currents at an exceptional point



	local	global
experiment	✓	✗

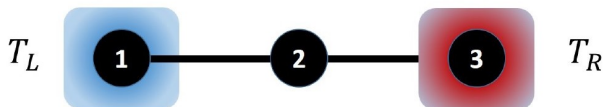


S. Scali, J. Anders, and L. A. Correa, *Quantum* 5, 451 (2021)



*L or G*

- sink/source term in spin-flow continuity equation



$$\frac{d}{dt} \langle \sigma_z^{(2)}(t) \rangle = \frac{d}{dt} \text{Tr}[\sigma_z^{(2)} \rho(t)].$$

	local approach	global approach
sink and source	X	✓

F. Benatti, R. Floreanini, and L. Memarzadeh. Physical Review A, 102(4):042219 (2020)

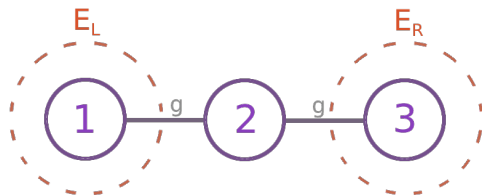
F. Benatti, R. Floreanini, and L. Memarzadeh, PRX Quantum, vol.2, p.030344 (2021)

# Approach

- ▶ Compare with the experimental results.
- ▶ Compare with the exact solution, ✓
  - ▶ compare local with exact.
  - ▶ compare global with exact.

# Model

Schematic representation of three-partite chain  $\rho_S$ , where first sub-system and third sub-system interacts with a thermal bath  $E_L$  and  $E_R$  respectively



## Model

The total Hamiltonian of this model is

$$H = H_S + H_E + \lambda H_{int}, \quad (1)$$

where the Hamiltonian of system is

$$H_S = \sum_{i=1}^3 \omega_i a_i^\dagger a_i + g \sum_{i=1}^2 (a_i a_{i+1}^\dagger + a_i^\dagger a_{i+1}), \quad (2)$$

and the Hamiltonian of two thermal baths are

$$H_E = \sum_{\alpha=L,R} H_E^{(\alpha)} = \sum_{\alpha=L,R} \sum_{k=1}^M \omega_k^{(\alpha)} (b_k^{(\alpha)})^\dagger b_k^{(\alpha)}, \quad (3)$$

and the interaction Hamiltonian of two baths with system

$$H_{int} = \sum_{\alpha=L,R} H_{int}^{(\alpha)} = \sum_{\alpha=L,R} \sum_{k=1}^M \gamma_k^{(\alpha)} (a_\alpha^\dagger b_k^{(\alpha)} + a_\alpha (b_k^{(\alpha)})^\dagger). \quad (4)$$

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## Result: Derivation of local master equation

The Master equation for the system in the local approach is

$$\dot{\rho}_S(t) = -i[H_S + H_{LS}^{(loc)}, \rho_S(t)] + \sum_{i=1,3} \mathcal{D}_i^{(loc)}[\rho_S(t)] = \mathcal{L}^{(loc)}[\rho_S(t)], \quad (5)$$

where  $\mathcal{D}_i^{(loc)}[\bullet]$ s are

$$\begin{aligned} \mathcal{D}_i^{(loc)}[\bullet] = & J(\omega_i) \bar{n}^{(i)}(\omega_i) (a_i^\dagger \bullet a_i - \frac{1}{2} \{a_i a_i^\dagger, \bullet\}) \\ & + J(\omega_i) (\bar{n}^{(i)}(\omega_i) + 1) (a_i \bullet a_i^\dagger - \frac{1}{2} \{a_i^\dagger a_i, \bullet\}). \end{aligned} \quad (6)$$



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## Result: Diagonalize the Hamiltonian of system

$$H_S = \sum_{i=1}^3 \omega_i a_i^\dagger a_i + g \sum_{i=1}^2 (a_i a_{i+1}^\dagger + a_i^\dagger a_{i+1}).$$



$$H_S = \sum_{i=1}^3 \epsilon_i c_i^\dagger c_i.$$

$$c_1 = \frac{1}{\sqrt{2}}(a_1 - a_3),$$

$$c_2 = \frac{1}{2}(a_1 - \sqrt{2}a_2 + a_3),$$

$$c_3 = \frac{1}{2}(a_1 + \sqrt{2}a_2 + a_3),$$

$$\epsilon_1 = \omega_0,$$

$$\epsilon_2 = \omega_0 - \sqrt{2}g,$$

$$\epsilon_3 = \omega_0 + \sqrt{2}g.$$

## Result: Derivation of global master equation

The master equation in global approach would be

$$\dot{\rho}_s(t) = -i[H_S + \lambda^2 H_{LS}^{(glb)}, \rho_s(t)] + \lambda^2 \sum_{i=1}^3 \mathcal{D}_i^{(glb)}[\rho_s(t)] = \mathcal{L}^{(glb)}[\rho_s(t)], \quad (7)$$

where  $\mathcal{D}_i^{(glb)}[\bullet]$ s are

$$\begin{aligned} \mathcal{D}_i^{(glb)}[\bullet] = & \sum_{\alpha=L,R} J(\epsilon_i) \bar{n}^{(\alpha)}(\epsilon_i) (c_i^\dagger \bullet c_i - \frac{1}{2} \{c_i c_i^\dagger, \bullet\}) \\ & + J(\epsilon_i) (\bar{n}^{(\alpha)}(\epsilon_i) + 1) (c_i \bullet c_i^\dagger - \frac{1}{2} \{c_i^\dagger c_i, \bullet\}). \end{aligned} \quad (8)$$

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g=0

$$\mathcal{D}^{(loc)}[\bullet] = \sum_{i=1,3} J(\omega)\bar{n}(\omega)(a_i^\dagger \bullet a_i - \frac{1}{2}\{a_i a_i^\dagger, \bullet\}) \\ + J(\omega)(\bar{n}(\omega) + 1)(a_i \bullet a_i^\dagger - \frac{1}{2}\{a_i^\dagger a_i, \bullet\}).$$

$$\mathcal{D}^{(glb)}[\bullet] = 2 \sum_{i=1}^3 J(\omega)\bar{n}(\omega)(a_i^\dagger \bullet a_i - \frac{1}{2}\{a_i a_i^\dagger, \bullet\}) \\ + J(\omega)(\bar{n}(\omega) + 1)(a_i \bullet a_i^\dagger - \frac{1}{2}\{a_i^\dagger a_i, \bullet\}).$$

⇓

$$\mathcal{L}^{(glb)}[\rho_S(t)] \neq \mathcal{L}^{(loc)}[\rho_S(t)]$$

# Exact Solution

In the exact solution we have

$$\dot{\rho}_{sb}(t) = -i[H, \rho_{sb}(t)], \quad (9)$$

the density matrix of system is

$$\dot{\rho}_s(t) = \text{tr}_b(\rho_{sb}(t)). \quad (10)$$

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$$\dot{\rho}_s(t) = tr_b(\rho_{sb}(t)). \quad (10)$$

## Till now

## ▶ Local

$$\dot{\rho}_s(t) = \mathcal{L}^{(loc)}[\rho_s(t)].$$

## ▶ Global

$$\dot{\rho}_s(t) = \mathcal{L}^{(glb)}[\rho_s(t)].$$

## ▶ Exact

$$\dot{\rho}_{sb}(t) = -i[H, \rho_{sb}(t)].$$



## Method

- ▶ **Local and Exact**  $\rightarrow \mathcal{F}^{(loc)}(t)$ .
- ▶ Global and Exact  $\rightarrow \mathcal{F}^{(glb)}(t)$ .
- ▶  $\mathcal{F}^{(glb)}(t) \leq \mathcal{F}^{(loc)}(t)$  or  $\mathcal{F}^{(glb)}(t) \geq \mathcal{F}^{(loc)}(t)$ .
- ▶ Steady State  $\rightarrow \mathcal{F}_{\infty}^{(glb)}$  and  $\mathcal{F}_{\infty}^{(loc)}$ .
- ▶ Continuity Equation of  $\langle a_2^{\dagger} a_2(t) \rangle$ .

## Method

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# Method

- ▶ Local and Exact  $\rightarrow \mathcal{F}^{(loc)}(t)$ .
- ▶ Global and Exact  $\rightarrow \mathcal{F}^{(glb)}(t)$ .
- ▶  $\mathcal{F}^{(glb)}(t) \leq \mathcal{F}^{(loc)}(t)$  or  $\mathcal{F}^{(glb)}(t) \geq \mathcal{F}^{(loc)}(t)$ .
- ▶ Steady State  $\rightarrow \mathcal{F}_{\infty}^{(glb)}$  and  $\mathcal{F}_{\infty}^{(loc)}$ .
- ▶ Continuity Equation of  $\langle a_2^{\dagger} a_2(t) \rangle$ .

# Fidelity

We are not able to use this equation for an infinite dimensional continuous variable system

$$\mathcal{F}(\rho_1, \rho_2) = \text{tr}(\sqrt{\rho_1 \sqrt{\rho_2} \rho_1}).$$

We use a subset of these states which are Gaussian states

$$\mathcal{F}(\rho_1, \rho_2) = f(C_1, C_2).$$

# Is it Gaussian?

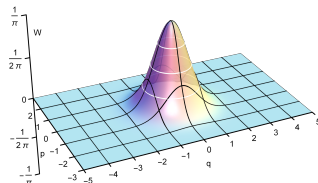
The state is Gaussian if its characteristic function is Gaussian:

$$\chi(\rho) = \text{tr}(\mathcal{D}(z)\rho) = e^{(\bar{z}, z)C(z, \bar{z})^t},$$

where  $C$  is a covariance matrix:

$$C_{ij} = \langle r_i r_j + r_j r_i \rangle - \langle r_i \rangle \langle r_j \rangle.$$

- ▶ Initial Gaussian state,
  - ▶ Thermal state.
  - ▶ Squeezed state.
- ▶ Final Gaussian state,
  - ▶ Quadratic Hamiltonian.



## Solution

## ▶ Local

$$\dot{\rho}_s(t) = \mathcal{L}^{(loc)}[\rho_s(t)] \implies \langle \dot{O}(t) \rangle = \langle (\mathcal{L}^{(loc)})^\dagger [O] \rangle.$$

## ▶ Global

$$\dot{\rho}_s(t) = \mathcal{L}^{(glb)}[\rho_s(t)] \implies \langle \dot{O}(t) \rangle = \langle (\mathcal{L}^{(glb)})^\dagger [O] \rangle.$$

## ▶ Exact

$$\dot{\rho}_{sb}(t) = -i[H, \rho_{sb}(t)] \implies \langle \dot{O}(t) \rangle = i[H, \langle O \rangle].$$

# Complicated!



## Solution

## ▶ Local

$$\dot{\rho}_s(t) = \mathcal{L}^{(loc)}[\rho_s(t)] \implies \langle \dot{O}(t) \rangle = \langle (\mathcal{L}^{(loc)})^\dagger[O] \rangle.$$

## ▶ Global

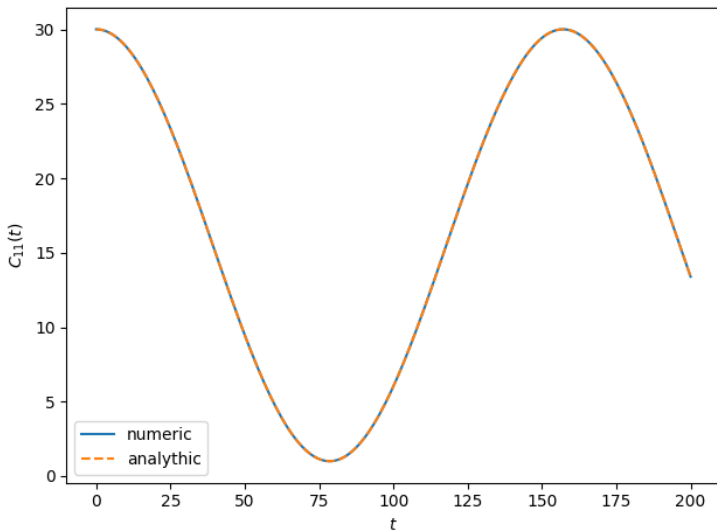
$$\dot{\rho}_s(t) = \mathcal{L}^{(glb)}[\rho_s(t)] \implies \langle \dot{O}(t) \rangle = \langle (\mathcal{L}^{(glb)})^\dagger[O] \rangle.$$

## ▶ Exact

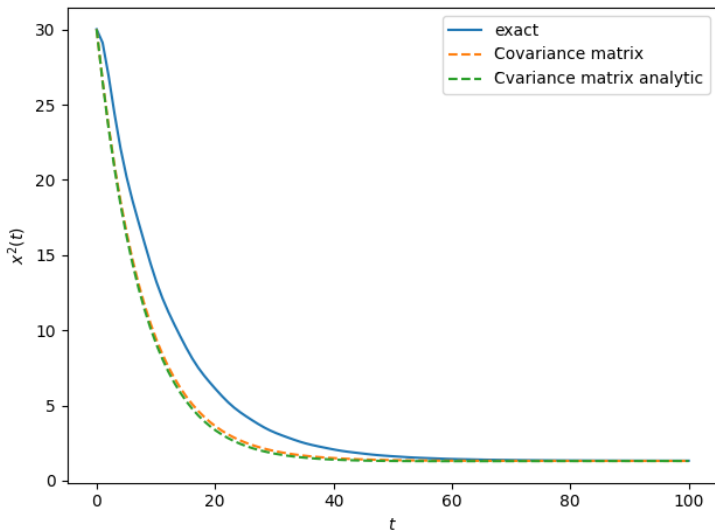
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# Complicated!

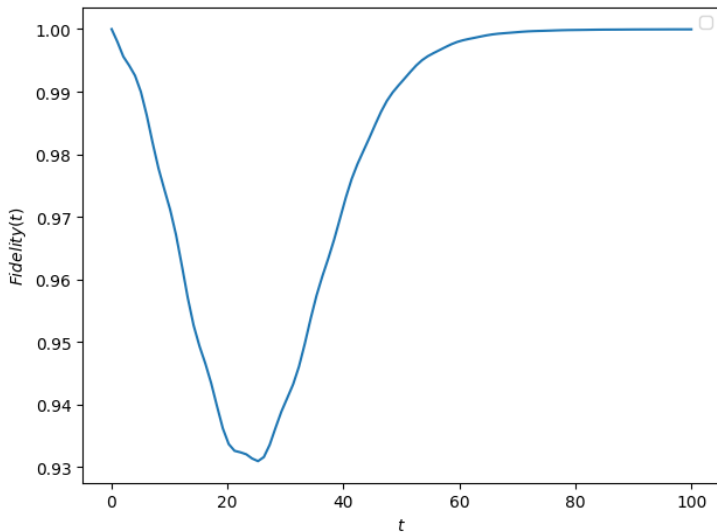
# Validity of numerical results: One Harmonic Oscillator, One Bath



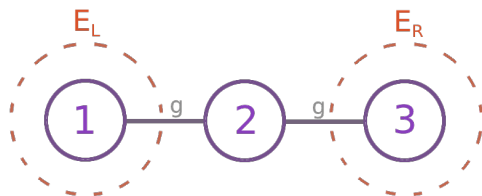
# Increase the number of oscillator in bath



# Fidelity: Master equation and Exact solution



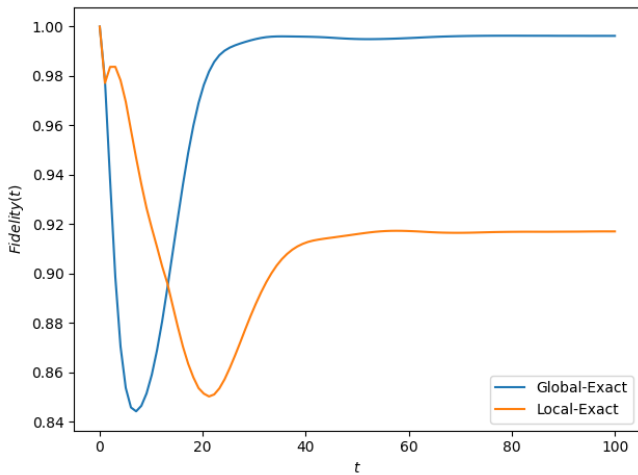
## Back to our model



$$C_{ij} = \langle r_i r_j + r_j r_i \rangle - \langle r_i \rangle \langle r_j \rangle,$$

$$\Rightarrow \begin{cases} \dot{C}_{ext}(t) = M_{ext} C_{ext}(t) + C_{ext}(t) M_{ext}^T \\ \dot{C}_{loc}(t) = M_{loc} C_{loc}(t) + C_{loc}(t) M_{loc}^T + F_{loc} \\ \dot{C}_{glb}(t) = M_{glb} C_{glb}(t) + C_{glb}(t) M_{glb}^T + F_{glb} \end{cases}$$

# Fidelity



$$g = 0, T_l = T_r$$

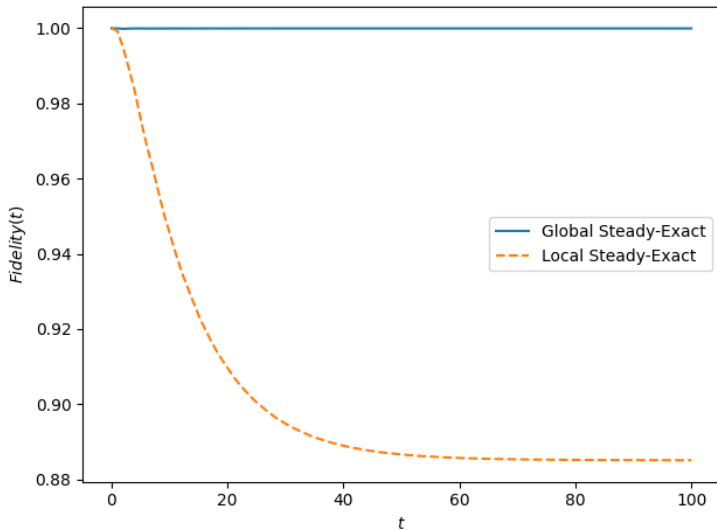
$$\dot{C}(t) = MC(t) + C(t)M^\dagger + F,$$



$$C_\infty^{(loc)} = (\bar{n}(\omega) + \frac{1}{2}) \text{id}(6).$$

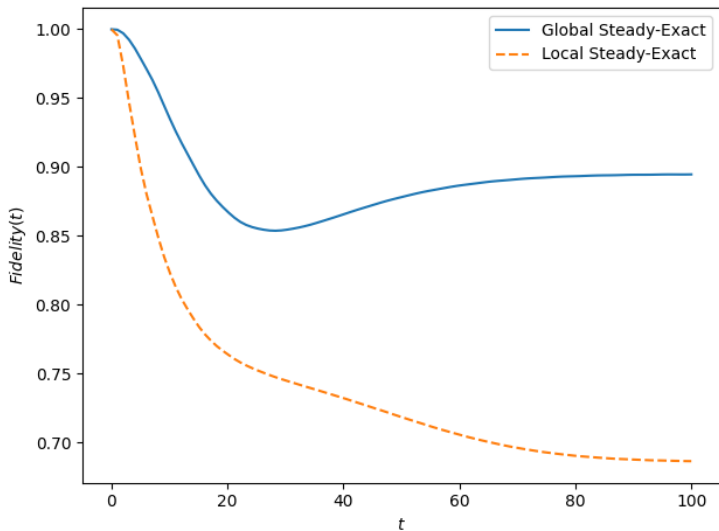
$$C_\infty^{(glb)} = (\bar{n}(\omega) + 1) \text{id}(6).$$

# Steady state





# Steady state



# Continuity Equation

$$\frac{d}{dt} \langle a_2^\dagger a_2(t) \rangle = \text{tr}(\mathcal{L}^\dagger [a_2^\dagger a_2] \rho(t))$$

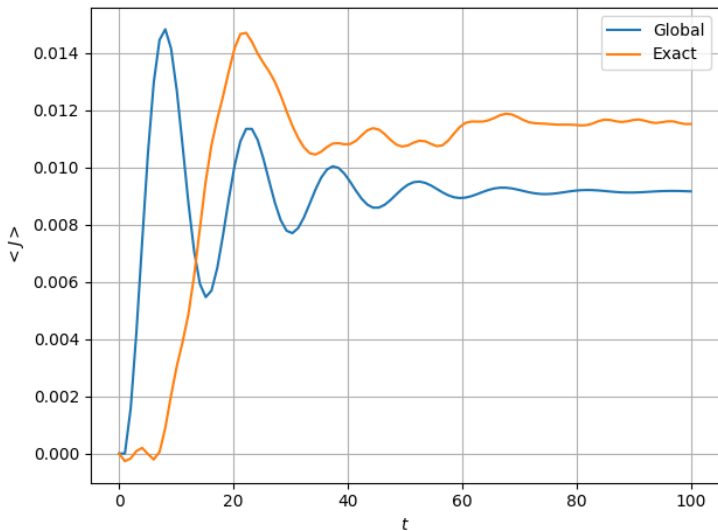


$$\frac{d}{dt} \langle a_2^\dagger a_2 \rangle_{glb} = \left( g - \frac{\sqrt{2}\lambda^2}{4} (\epsilon'_1 - \epsilon'_3) \right) \langle J_{12} - J_{23} \rangle + \sum_{\alpha} \langle \mathcal{Q}_{\alpha} \rangle$$

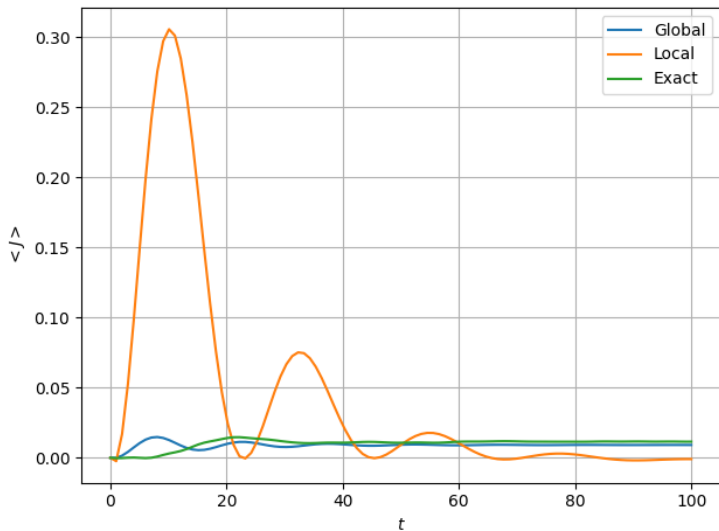
$$\frac{d}{dt} \langle a_2^\dagger a_2 \rangle_{loc} = g \langle J_{12} - J_{23} \rangle$$

$$\frac{d}{dt} \langle a_2^\dagger a_2 \rangle_{ext} = g \langle J_{12} - J_{23} \rangle$$

# Continuity Equation



# Continuity Equation



## Conclusion

- ▶ Derived the master equation in local and global approach:  
 $\mathcal{L}^{(glb)}[\rho_s(t)] \neq \mathcal{L}^{(loc)}[\rho_s(t)]$ .
- ▶ Checked the validity of numerical result: Validity of master equation.
- ▶ Studied the evolution of Fidelity.
- ▶ Studied the fidelity in steady state:  $C_\infty^{(loc)} \neq C_\infty^{(glb)}$ .
- ▶ Studied continuity equation.

*Thanks for your attention!*

## Exact Solution

If we define the  $|Q(t)\rangle_{ext}$  as

$$|Q(t)\rangle_{ext} =: (x_1(t), x_2(t), x_3(t), \vec{x}_l(t), \vec{x}_r(t); p_1(t), p_2(t), p_3(t), \vec{p}_l(t), \vec{p}_r(t))^T$$

We would see the differential equation of this vector is

$$\left| \dot{Q}(t) \right\rangle_{ext} = i(\sigma_y \otimes W_{ext}) |Q(t)\rangle_{ext} := M_{ext} |Q(t)\rangle \quad (11)$$

And the differential equation of the covariance matrix is

$$\dot{C}_{ext}(t) = M_{ext} C_{ext}(t) + C_{ext}(t) M_{ext}^T \quad (12)$$

## Exact Solution

Where  $W_{ext}$  is:

$$W_{ext} = \begin{pmatrix} \omega_1 & g & 0 & \lambda\gamma_1^{(l)} & \cdots & \lambda\gamma_M^{(l)} & 0 & \cdots & 0 \\ g & \omega_2 & g & 0 & \cdots & 0 & 0 & \cdots & 0 \\ 0 & g & \omega_3 & 0 & \cdots & 0 & \lambda\gamma_1^{(r)} & \cdots & \lambda\gamma_M^{(r)} \\ \lambda\gamma_1^{(l)} & 0 & 0 & \omega_1^{(l)} & \cdots & 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots \\ \lambda\gamma_M^{(l)} & 0 & 0 & 0 & 0 & \omega_M^{(l)} & 0 & \cdots & 0 \\ 0 & 0 & \lambda\gamma_1^{(r)} & 0 & 0 & 0 & \omega_1^{(r)} & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \lambda\gamma_M^{(r)} & 0 & \cdots & \cdots & \cdots & 0 & \omega_M^{(r)} \end{pmatrix}.$$



## Local Solution

If we define  $|Q(t)\rangle_{loc}$  as

$$|Q(t)\rangle_{loc} =: (x_1(t), x_2(t), x_3(t), p_1(t), p_2(t), p_3(t))^T \quad (13)$$

We would see the differential equation of this vector is

$$|\dot{Q}(t)\rangle_{loc} = i(\sigma_y \otimes W_{app} - i \text{id}_2 \otimes U_{loc}) |Q(t)\rangle_{loc} := M_{loc} |Q(t)\rangle_{loc}$$

And the differential equation of the covariance matrix is

$$\dot{C}(t)_{loc} = M_{loc} C_{loc}(t) + C_{loc}(t) M_{loc}^T + F_{loc} \quad (14)$$

## Local Solution

Where  $W_{app}$  is:

$$W_{app} = \begin{pmatrix} \omega_1 & g & 0 \\ g & \omega_2 & g \\ 0 & g & \omega_3 \end{pmatrix}$$

and  $U_{loc}$  is:

$$U_{loc} = -\frac{\lambda^2}{2} \begin{pmatrix} J(\omega_1) & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & J(\omega_3) \end{pmatrix}$$

## Global Solution

If we define  $|Q(t)\rangle_{glb}$  as

$$|Q(t)\rangle_{glb} =: (x_1(t), x_2(t), x_3(t), p_1(t), p_2(t), p_3(t))^T \quad (15)$$

We would see the differential equation of this vector is

$$\dot{|Q(t)\rangle}_{glb} = (i\sigma_y \otimes W_{app} + \text{id}_2 \otimes U_{glb}) |Q(t)\rangle_{glb} := M_{glb} |Q(t)\rangle_{glb}$$

And the differential equation of the covariance matrix is

$$\dot{C}_{glb}(t) = M_{glb} C_{glb}(t) + C_{glb}(t) M_{glb}^T + F_{glb} \quad (16)$$

## Global Solution

Where  $U_{glb}$  is:

$$U_{glb} = \frac{\lambda^2}{8} \begin{pmatrix} u_1 & u_2 & u_3 \\ \sqrt{2}u_2 & u_4 & \sqrt{2}u_2 \\ u_3 & u_2 & u_1 \end{pmatrix} \quad (17)$$

that  $u_i$ s are:

$$\begin{aligned} u_1 &= -(2J(\epsilon_1) + J(\epsilon_2) + J(\epsilon_3)) \\ u_2 &= J(\epsilon_2) - J(\epsilon_3) \\ u_3 &= 2J(\epsilon_1) - J(\epsilon_2) - J(\epsilon_3) \\ u_4 &= -2J(\epsilon_2) - 2J(\epsilon_3) \end{aligned} \quad (18)$$

## Fidelity

The fidelity for Three mode Gaussian state:

$$\mathcal{F}(\rho_1, \rho_2) = \mathcal{F}_0(C_1, C_2) e^{-\frac{1}{4} \delta_u^T (C_1 + C_2) \delta_u}$$

$$\mathcal{F}_0(C_1, C_2) = \frac{F_{tot}}{\sqrt{\det(C_1 + C_2)}}$$

$$F_{tot}^4 = \det\left(2\left(\sqrt{id(6) + \frac{(C_{aux}\Omega)^{-2}}{4}} + id(6)\right)C_{aux}\right)$$

$$C_{aux} = \Omega^T (C_1 + C_2)^{-1} \left(\frac{\Omega}{4} + C_2 \Omega C_1\right)$$

$$\Omega = \bigoplus_1^3 \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

## Steady state

The local steady state is

$$\begin{aligned} C_1 &= \frac{1}{4}(n_l + n_r + 1)\Gamma_1 + \frac{1}{2}(n_l - n_r) \frac{1}{1 + (\frac{2g}{\lambda^2 J(\omega)})^2} \Gamma_2 \\ &- \frac{g}{\lambda^2 J(\omega)} \frac{n_l - n_r}{1 + (\frac{2g}{\lambda^2 J(\omega)})} \Gamma_3 + \frac{1}{4}(n_l + n_r + 1) \frac{1 - (\frac{4g}{\lambda^2 J(\omega)})}{1 - 2(\frac{4g}{\lambda^2 J(\omega)})} \Gamma_4 \\ &+ \frac{1}{2}(n_l + n_r + 1)\Gamma_5 \end{aligned}$$

## Steady state: Local

where  $\Gamma_i$ s are

$$\Gamma_1 = \begin{pmatrix} 1 & & -1 \\ & 0 & \\ -1 & & 1 \end{pmatrix},$$

$$\Gamma_3 = \begin{pmatrix} & i & \\ -i & & i \\ & -i & \end{pmatrix},$$

$$\Gamma_5 = \begin{pmatrix} 0 & & \\ & 1 & \\ & & 0 \end{pmatrix}.$$

$$\Gamma_2 = \begin{pmatrix} 1 & & \\ & 0 & \\ & & -1 \end{pmatrix},$$

$$\Gamma_4 = \begin{pmatrix} 1 & & 1 \\ & 0 & \\ 1 & & 1 \end{pmatrix},$$

## Steady state: Global

$$C_1 = \frac{1}{4} \begin{pmatrix} A & B & C \\ B & D & B \\ C & B & A \end{pmatrix}$$

$$A = 2n_l(\epsilon_1) + 2n_r(\epsilon_1) + n_l(\epsilon_2) + n_r(\epsilon_2) + n_l(\epsilon_3) + n_r(\epsilon_3) + 4$$

$$B = \sqrt{2}(n_l(\epsilon_2) + n_r(\epsilon_2) - n_l(\epsilon_3) - n_r(\epsilon_3))$$

$$C = -2n_l(\epsilon_1) - 2n_r(\epsilon_1) + n_l(\epsilon_2) + n_r(\epsilon_2) + n_l(\epsilon_3) + n_r(\epsilon_3)$$

$$D = 2(n_l(\epsilon_2) + n_r(\epsilon_2) + n_l(\epsilon_3) + n_r(\epsilon_3) + 1)$$